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## CERTIFICATE OF REGISTRATION

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Issued to:

### **DirectAdvisors**

421 Loudon Road  
Albany, NY 12211  
United States

which has demonstrated its conformity to all Fiduciary Practices for:

### **Investment Advisor Certification**

for the following Program:

*A practice focused exclusively on ERISA fiduciary plan consulting and 3(38) investment management services with specialized expertise in mid-sized 401(k) and 403(b) plans for corporations, institutions of higher education and specialized plans for the construction industry*

**Date of Current Registration:** 2020.08.25  
**Date of Initial Registration:** 2017.08.25  
**CEFEX File:** CFX10557



On behalf of the CEFEX Registration Committee

David Vriesenga  
Chief Rating Officer

#### **Registered by CEFEX, Centre for Fiduciary Excellence LLC**

This certificate has been awarded following the successful completion of an assessment to the standard shown above. It may not address all the products/services provided by the firm. The terms and conditions governing the issuance of this certificate are contained in the Agreement between CEFEX and the firm.

This certificate is the property of CEFEX, is valid for 1 year from the Date of Current Registration shown above and can be renewed annually.